

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
23 August 2001 (23.08.2001)

PCT

(10) International Publication Number
WO 01/60589 A1

(51) International Patent Classification⁷: B29C 59/02,
G02B 5/18

Natalie [CH/CH]; Breitensteinstrasse 85, CH-8037 Zurich
(CH). TERVOORT, Theodorus [NL/CH]; Haldenbach-
strasse 30, CH-8006 Zurich (CH). BASTIAANSEN, Cees
[NL/NL]; Brusselseweg 252, NL-6217 HB Maastricht
(NL). SMITH, Paul [NL/CH]; Huttensteig 10, CH-8006
Zürich (CH).

(21) International Application Number: PCT/US01/05181

(22) International Filing Date: 16 February 2001 (16.02.2001)

(25) Filing Language:

English

(74) Agents: PAULSON, Mark, G. et al.; Pillsbury Winthrop
LLP, 1100 New York Avenue, N.W., Washington, DC
20005 (US).

(30) Priority Data:

60/182,919 16 February 2000 (16.02.2000) US

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU,
AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CR, CU, CZ,
DE, DK, DM, DZ, EE, ES, FI, GB, GD, GE, GH, GM, HR,
HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR,
LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ,
NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM,
TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.

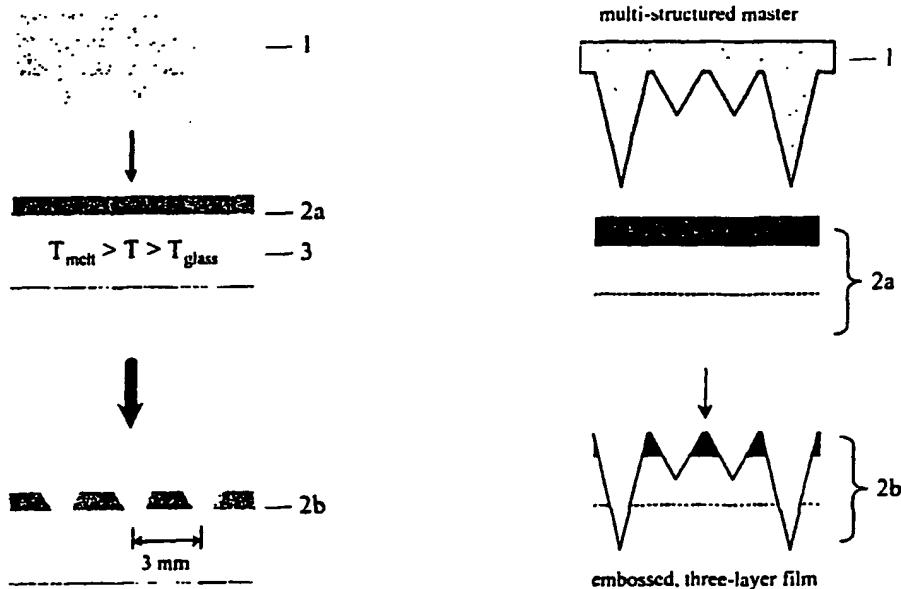
(63) Related by continuation (CON) or continuation-in-part
(CIP) to earlier application:

US 60/182,919 (CON)
Filed on 16 February 2000 (16.02.2000)

(84) Designated States (*regional*): ARIPO patent (GH, GM,
KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian
patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European
patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE,
IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF,
CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

[Continued on next page]

(54) Title: METHOD FOR MICROSTRUCTURING POLYMER-SUPPORTED MATERIALS



WO 01/60589 A1

(57) Abstract: A method is provided for microstructuring polymer-supported materials. Also provided are microstructured objects obtained with this method. The micro-structured objects are suitable for use, among other things, as light polarizers, transfectors, microelectrode arrays, and liquid-crystal alignment layers.

BEST AVAILABLE COPY



Published:

— *with international search report*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

METHOD FOR MICROSTRUCTURING POLYMER-SUPPORTED MATERIALS

CROSS-REFERENCE TO RELATED APPLICATIONS

- 5 This application claims the benefit of U.S. provisional application no. 60/182,919, filed on February 16, 2000, which is hereby incorporated in its entirety by reference.

FIELD OF THE INVENTION

- The invention relates to a method for microstructuring polymer-supported materials
10 and microstructured objects obtained therewith, suitable for use, for instance, as light polarizers, transreflectors, microelectrode arrays, or liquid-crystal alignment layers and combinations thereof.

BACKGROUND OF THE INVENTION

- 15 Microstructuring of materials is of critical importance for manufacturing of a wide variety of advanced electronic, optical and mechanical devices ranging from displays to biosensors. For this purpose a number of methods have been developed, including lithographic techniques such as photo-, x-ray- and electron-beam lithography, microcontact-printing, micromolding and cold-welding. See, for instance, U.S. Patents 4,886,627; 4,997,522; 5,512,131; 5,810,945; 6,020,107; 20 6,074,513; 6,159,832; 6,168,897; 6,177,354; and 6,180,239. The main disadvantage of the most of these techniques is that they often are of restricted applicability, involve a multitude of elaborate and cumbersome processing steps, or frequently require aggressive chemistry. Hence a need continues to exist for a 25 simple, less costly method that permits reliable production of microstructured objects.

SUMMARY OF THE INVENTION

It is an object of the present invention to provide a microstructuring method according to which a wide variety of materials can be structured on a wide variety of polymer supports including semi-crystalline and amorphous polymers.

- 5 It is a further object of the present invention to provide a microstructuring method according to which materials can be structured on polymer supports, which are in their solid-state.

It is a further object of the present invention to provide a microstructuring method according to which microstructured objects can be produced.

- 10 It is a further object of the present invention to provide microelectrodes produced according to the present microstructuring method.

It is a further object of the present invention to provide optical components produced according to the present microstructuring method.

- 15 Also, it is an object of the present invention to provide a microstructuring method to produce microstructured objects that can be used as alignment layer and as microelectrodes, polarizers, transfectors, or combinations thereof.

It is a further object of the present invention to provide a microstructuring method to produce objects that can be used as crystallization templates.

20 **BRIEF DESCRIPTION OF THE DRAWINGS**

FIG. 1a,b are schematic presentations (side-view) of the microstructuring process according to one embodiment of the present invention.

- 25 FIG. 2 shows environmental scanning electron (ESE) micrographs of microstructured objects that were obtained with the microcutting process according to one embodiment of the present invention.

FIG. 3 shows various micrographs of other microstructured objects that were obtained with the microcutting process according to one embodiment of the present

invention.

FIG 4: infrared-spectroscopy measurements showing the capability of a microstructured Aluminum layer onto a high-density polyethylene substrate to polarize light.

5 FIG. 5 optical micrographs taken between crossed polarizers illustrating the capability of microstructured objects according to one embodiment of the present invention to align liquid-crystal compounds.

DETAILED DESCRIPTION OF THE INVENTION AND PREFERRED EMBODIMENTS

10 The present invention provides a method in which at least one layer 2a is microstructured on a polymer support 3. These layers 2a can be metals, polymers, ceramics, inorganics and organics, and combinations thereof. The support 3 can be a semi-crystalline or amorphous polymer. By pressing a master 1 with desired features into the polymer support 3, the layers 2a are microstructured and wholly or
15 partly cut into well-defined supported micro-features 2b. The master 1 can be pressed into the multilayer structure, for instance, by an embossing technique, hot embossing, roller hot embossing, stamping, and the like. The layers 2a can be structured one or multiple times. Also, unsupported microstructures can be obtained; the polymer support 3 can, for instance be dissolved or burned off after the
20 structuring process.

THE MASTER

In one embodiment, the master 1 comprises sharp, protruding features, such as saw-tooth-type structures (FIG. 1a, b), spikes, and the like. Preferably, there is low friction between master 1 and polymer support 3. Suitable masters for use in the
25 process according to the present invention can be made by a variety of methods known in the art, including, but not limited to lithography, electroplating, electroforming and the like. The process of their manufacturing and the material of the masters are not critical, provided that the masters are sufficiently hard and capable of cutting through the layers 2a. Characteristic dimensions of the features of

the masters, such as the spacing between two protruding edges, can be in the range between 1 mm and 1 nm. In one embodiment, these characteristic dimensions are between about 100 μm and 5 nm, and in a further embodiment between 10 μm and about 10 nm.

5 The depth of the features of the masters generally exceeds the thickness of the layer or layers 2a that are to be cut according to the present invention (FIG. 1a,b). The pattern of the masters can be varied and is dictated by the envisioned use of the final object. For instance, if the process according to the present invention is employed to manufacture simple wire-grid polarizers, a master of regularly spaced, sharp line-shaped edges that are separated by about half of the wavelength of the light that is to be polarized, can be used. Masters for different objects can readily be designed
10 by those skilled in the art of microfabrication.

THE POLYMER SUPPORT

The microstructuring process of the layers 2a according to the present invention can
15 be carried out onto a polymer support 3. A great variety of polymers can be used, including, but not limited to polyolefins, such as polyethylene, isotactic polypropylene, nylons, polyesters, such as poly(ethylene terephthalate), fluorinated polymers, such as perfluorinated ethylene-propylene copolymers, poly(tertafluoroethylene) and the like, poly(oxymethylene), poly(vinyl alcohol),
20 polystyrene, polyacrylates, such as poly(methyl methacrylate), polycarbonate, poly(vinylphenol), conducting polymers, such as polyaniline, poly(ethylene dioxythiophene), poly(alkyl thiophenes), substituted or unsubstituted poly(phenylene vinylene)s, and the like. The criteria for selection of the support polymer depend on
25 the method of applying the layer or layers 2a that are to be cut and the adhesion thereto, and the desired optical properties, such as transparency or refractive index, physico-chemical properties, such as polarity, hydrophobicity, electrical properties, such as conductivity or dielectric constant, and the like, which are determined by the end use of the structured objects. Generally, good adhesion between the substrate and the layers 2a is preferred. Depending on the envisioned application of the
30 microstructured object, the substrate may or may not be an oriented polymer. In one embodiment of the method according to the present invention, the polymer substrate

3 is in a deformable solid state, and not brittle under the conditions where the microstructuring process is carried out.

THE LAYERS

The layer or layers 2a that are to be microstructured according to the present invention may consist of, or comprise a great variety of materials and materials combinations, including, but not limited to metals, polymers, ceramics, inorganics and organics. Selection of the appropriate material or materials is dictated by the envisioned end use of the microstructured object. For instance, for the fabrication of micro-electrodes, metals, such as gold, silver and aluminum, conducting polymers, such as polyanilines and poly(ethylene dioxythiophene)s, and conducting ceramics, for instance indium tin oxide, are preferred.

The thickness of the layers according to the present invention may vary greatly. Generally, the thickness exceeds the grain size of the constituent material. In one embodiment, the layer thickness is between 1 nm and 100 µm. In another embodiment, the layer thickness is between 5 nm and 10 µm. In another embodiment, the layer thickness is between about 10 nm and 1 µm. The selection of the particular thickness first of all is dictated by the envisioned function and end use of the microstructured object. It is contemplated within the scope of the present invention that the microstructured object comprises a multitude of layers of similar or dissimilar materials that provide a number of different functions and properties. It is also envisioned that one or more of these layers have the same or different microstructures, which may be effected by proper design of the master or by multiple structuring according to one embodiment of the present invention.

The layers may be applied to the polymer substrate 3, with a variety of methods. Selection of the appropriate method to deposit the layers is depending on the material that is to be formed into the layer or layers 2a, and the compatibility and interactions with the substrate of the material and the method of application. For instance, materials may be applied from solution by spin- or drop casting, dip-coating, doctor blading, screen printing, ink-jet printing, micro-contact printing, and the like; by melt-compression molding, evaporation, sputtering, stamping, and the like; by forming self-assembled layers, Langmuir-Blodgett techniques, and the like.

THE PROCESS

In one embodiment of the present microstructuring process, the polymer substrate 3 is in its solid state. Accordingly, for amorphous polymers the method is carried out around the glass transition temperature, T_g . The latter temperatures generally are 5 well known and can be found for instance in the Polymer Handbook (Eds. J. Brandrup, H. Immergut, E.A. Grulke; John Wiley & Sons; New York, 1999), or can readily be determined according to standard thermal analysis methods. In one embodiment, the microstructuring process according to the present invention is carried out in a temperature range from about 50 °C below to about 50 °C above T_g .

10 In another embodiment, the microstructuring process according to the present invention is carried out in a temperature range from about 40 °C below to about 40 °C above that transition. In another embodiment, the microstructuring process according to the present invention is carried out in a temperature range from about 25 °C below to about 25 °C above T_g . For semi-crystalline polymers the 15 microstructuring method according to the present invention is carried out in the temperature regime between about the glass transition temperature, T_g , and the melting temperature, T_m . The latter temperatures generally are also well known and can also be found for instance in the above-mentioned Polymer Handbook or can readily be determined according to standard thermal analysis methods. In one 20 embodiment, the microstructuring process is carried out in a temperature range from about 50 °C below T_g to 1 °C below T_m , and in another embodiment from about 25 °C below T_g to 2 °C below T_m . In another embodiment, the microstructuring process is carried out in a temperature range from T_g to about 5 °C below T_m . Other 25 processing parameters, such as the load that is applied onto the master and time period during which it is applied, are less critical and are readily adjusted to ensure that the desired penetration of the master through one or more of the layers 2a is effected.

The microstructuring process may be repeated multiple times, so that, for instance, complex structures can be obtained with a relatively simple master, or that elaborate 30 structures created with different masters can be combined. For example, microcutting twice with a simple line-shaped master can yield checker-board or cross-hatched patterns when the master is rotated between the sequential cutting steps.

It is also contemplated that the substrate 3, or one or more of the layers 2a, or a combination thereof, are removed or modified in whole or in part, between steps, or after completion of the microstructuring process by dissolution, thermal treatment, chemical and mechanical means, and the like. Additionally, it is contemplated that

5 after the microstructuring process, the object obtained may be further modified, for instance, by mechanical deformation, heat setting, by applying one or more additional layers, coatings, encapsulation, and the like. Also, in one embodiment of the present invention, the microstructured layer or layers 2b may be transferred onto other substrates or functional supports.

10 APPLICATIONS AND OBJECTS

It will be apparent to those ordinarily skilled in the art that the microstructuring process according to the present invention can be widely applied and may yield numerous objects in a great variety of shapes and forms, for use in a broad spectrum of applications. As pointed out in the preceding sections, it is the particular

15 selection of materials, number of layers and their thicknesses, master geometries, number microstructuring steps and post-treatments that determine the functions of the objects obtained.

Without any intention to restrict the applicability of the method of the present invention, illustrative objects that can be produced according to it include micro-

20 electrodes and arrays thereof, optical filters, polarizers, polarization-dependent color filters, transfectors, and the like. In addition, the present invention permits simple manufacturing of multi-functional items and layers that combine the properties of one or more of the above-mentioned objects and those of layers used, for example in certain displays, to orient liquid crystals (such as the cumbersomely prepared rubbed

25 polyimide layers). The present method also allows simple manufacturing of complex security features, such as multi-functional holograms. Additionally, it is envisioned that microstructured objects according to the present invention can be used to induce patterned growth of materials, either through their particular geometry, patterned polarity or wettability, or their ability to provide high electrical or magnetic

30 fields across predetermined patterns.

The following specific examples are presented to illustrate the invention and are not to be construed as any limitations thereon.

EXAMPLES

5 EXAMPLE 1

A microstructured object was prepared in accordance with an embodiment of this invention. The object prepared comprised a microcut gold (Au) layer (about 50 nm thin) onto a poly(tetrafluoroethylene-co-hexafluoropropylene) film (FEP) (125-175 µm thick).

- 10 The FEP substrate (TEFLON® FEP 100; DuPont Fluoroproducts, Geneva, Switzerland) was prepared by standard melt-compression molding of a FEP granulate at 330 °C applying a load of 2.5 kg for 5 min. For this purpose, a Tribotrax pressing apparatus (DACA Instruments, Santa Barbara, California, USA) was employed. A metallic layer was then applied onto the FEP film by evaporation of gold
15 (99.99 % Au wire, Ø = 2 mm; Balzers AG, Balzers, Liechtenstein) using a MED-020 instrument (BAL-TEC, Balzers, Liechtenstein) operated at 1×10^{-5} mbar. The thickness of this Au layer was about 50 nm. Subsequently, the bilayer (50 nm Au/FEP) film, which was supported by a glass slide, was embossed at 200 °C with a TGG01 Ultrasharp calibration grating (NT-MTD, Moscow, Russia; <http://nt-mdt.adp-group.com/grating.htm>) applying a load of 7.5 kg for 5 min. After 5 min, heating was
20 stopped and the sample was allowed to cool down to room temperature with the load still being applied to the master and sample. In this way, a microstructured object in accordance with an embodiment of this invention comprised of regular and well-defined gold microstructures onto the FEP substrate was obtained, as the environmental scanning electron micrograph (taken with Philips FEG E-SEM XL30)
25 in Fig. 2a demonstrates. Other processing conditions also have been shown to yield satisfactory results. Generally, shorter processing times can be used at higher loads, and lower loads can be used at higher temperatures.

EXAMPLE 2

Another microstructured object was prepared in the same way as in Example 1, but now a high-density polyethylene substrate (HDPE) (125-175 µm thick) (Stamylan HD 7048; DSM, Heerlen, The Netherlands) was used instead of the FEP film. The HDPE substrate was obtained - analogously to the FEP films - by compression-molding of a HDPE granulate at 180 °C applying a load of 1 kg for 5 min. Embossing of the bilayer (50 nm Au/HDPE) system was carried out at 100 °C applying a load of 7.5 kg for 5 min with subsequent cooling (under load) to room temperature, whereupon the gold layer was microcut as the E-SEM photomicrograph in FIG. 2b illustrates. Other processing conditions also have been shown to yield similarly satisfactory results.

Thus, microstructured objects in accordance with an embodiment of this invention comprised of gold microstructures onto apolar, semi-crystalline polymers other than FEP, such as HDPE, can also be obtained utilizing the microcutting technique described in one embodiment of this invention.

15

EXAMPLE 3

Another microstructured object was prepared in the same way as in Example 1, but now a poly(vinyl alcohol) (PVA) substrate (125-175 µm thick) (weight-average molecular weight $M_w \approx 100$ kg/mol; Aldrich Chemical Company, Milwaukee, USA) was used instead of the FEP film. The PVA film was obtained by casting a 1 wt % solution of PVA in deionized water into a plastic petri-dish and subsequent drying for 48 hrs at 50 °C. Embossing of the bilayer (50 nm Au/PVA) system was carried out at 150 °C applying a load of 7.5 kg for 5 min with subsequent cooling (under load) to room temperature. Other processing conditions have been shown to also yield satisfactory results.

Thus, microstructured objects in accordance with an embodiment of this invention comprised of gold microstructures onto polar semi-crystalline polymers, such as PVA, can also be obtained utilizing the microcutting technique described in one embodiment of this invention.

EXAMPLES 4 AND 5

Two other microstructured objects were prepared in the same way as in Example 2, but now Au layers of a thickness of about 20 nm (for Example 4) and 100 nm (for Example 5) were evaporated onto HDPE films. Also, the latter were plasma-treated before the gold was applied. The oxygen-plasma treatment was carried out with a RF-plasma cleaner (PDC-32G, Harrick Scientific Corporation, Ossining, NY, USA).

Thus, microcutting of Au layers of a broad range of thicknesses is feasible on HDPE substrates utilizing the microcutting technique described in one embodiment of this invention, yielding microstructured objects in accordance with an embodiment of this invention.

EXAMPLES 6 AND 7

Two other microstructured objects were prepared in the same way as in Example 3, but now Au layers of a thickness of about 20 nm (Example 6; E-SEM photomicrograph in FIG. 2c) and 100 nm (Example 7) were evaporated onto PVA films. The latter were plasma-treated before the gold was applied as described for the HDPE films in Example 4 and 5.

Thus, microcutting of Au layers of a broad range of thicknesses is feasible on PVA substrates utilizing the microcutting technique described in one embodiment of this invention yielding microstructured objects in accordance with an embodiment of this invention.

EXAMPLES 8 - 10

Other microstructured object were prepared in the same way as in Example 1, but now an amorphous polymer, polystyrene (PS) ($M_w \approx 230$ kg/mol; Aldrich Chemical Company, Milwaukee, USA) was used instead of the FEP. Gold layers of a thickness of about 10 nm (Example 8), 50 nm (Example 9) and 100nm (Example 10; E-SEM

photomicrograph in FIG. 2d) were evaporated onto the PS substrates. The latter were obtained by compression-molding of a PS granulate at 180 °C, applying a load of 2.5 kg for 5 min, yielding films of a thickness of 125-175 µm. Embossing of the bilayer systems (10 nm Au/PS, 50 nm Au/PS, 100 nm Au/PS) was carried out at 100
5 °C applying a load of 10 kg for 1 hr with subsequent cooling (under load) to room temperature. Other processing conditions also have been shown to yield satisfactory results.

Thus, microstructured objects in accordance with an embodiment of this invention comprised of gold microstructures of a broad range of thicknesses onto amorphous
10 polymers, such as PS, can also be obtained utilizing the microcutting technique described in one embodiment of this invention.

EXAMPLE 11 - 16

To illustrate that also other metal layers can be microcut utilizing the microcutting
15 technique described in one embodiment of this invention, the following microstructured objects were prepared:

- One object (Example 11) was produced in the same way as in Example 1, but now a gold/palladium (Au/Pd) layer of about 20 nm thickness was evaporated onto the FEP film. For the evaporation, an Au/Pd wire (80:20 wt%, Ø = 0.2 mm;
20 BAL-TEC, Balzers, Liechtenstein) was utilized.
- Another microstructured object (Example 12) was obtained as described in Example 3, but before evaporating the Au layer an additional chromium (Cr) layer of about 5 to 10 nm thickness was applied by evaporation of Cr granulates (99.6 %, Ø = 1.5 - 3.5 mm; Balzers AG, Balzers, Liechtenstein).
- 25 - Two microstructured objects (Example 13 and 14) were prepared in the same way as described in Examples 9 and 10, but now silver (Ag) layers were microcut. The latter were of a thickness of about 50 nm (in case of Example 13) and 100 nm (in case of Example 14). For the evaporation, an Ag wire (99.99 %, Ø = 1 mm; Balzers AG, Balzers, Liechtenstein) was utilized.

- Another microstructured object (Example 15) was obtained as described in Example 2, but now an aluminum (Al) layer of about 20 nm thickness was applied onto the HDPE film by evaporation of Al granulates (99.9 %, $\varnothing = 0.2 - 0.7$ mm; Balzers AG, Balzers, Liechtenstein) employing a Balzers BAE 370 coating system (Balzers AG, Balzers, Liechtenstein) operated at 1.3×10^{-6} mbar.
- 5
- Another microstructured object in accordance with an embodiment of this invention (Example 16) was obtained by embossing commercially available poly(ethylene terephthalate) (PET) films, metallized with aluminum (Al layer thickness: about 35 nm), purchased from Goodfellow Cambridge Limited (Cambridge, England). Microcutting of the metallized PET film was carried out at 10 80°C applying a load of 10 kg for 1 hr with subsequent cooling (under load) to room temperature. Other processing conditions also have been shown to yield satisfactory results.

Thus, microstructured objects in accordance with an embodiment of this invention 15 comprised of other metallic microstructures than gold onto various polymeric substrates, such as FEP, PVA, PET and PS films, can also be obtained utilizing the microcutting technique described in one embodiment of this invention.

EXAMPLE 17 - 20

- 20 To illustrate that also polymeric layers can be microcut utilizing the present technique described in one embodiment of this invention, prepared were the following microstructured objects, which comprised a microcut poly(3,4-ethylene dioxythiophene) (PEDOT) layer (about 80 nm thick) onto various polymeric substrates of a thickness of about 2 to 3 μm :
- 25 – Microstructured objects according to one embodiment of the present invents were produced by first spin-casting PS ($M_w \approx 105$ kg/mol; Aldrich Chemical Company, Milwaukee, USA) (Example 17), poly(methyl methacrylate) (PMMA) ($M_w \approx 120$ kg/mol; Aldrich Chemical Company, Milwaukee, USA) (Example 18) and poly(vinylphenol) PVP ($M_w \approx 20$ kg/mol; Aldrich Chemical Company, Milwaukee, USA) (Example 19) onto glass slides that were cleaned by sonication
- 30

sequentially in ultra-pure water, acetone and isopropanol. PS was cast from a 15 wt% and PMMA from a 20 wt% solution in cyclopentane; PVP substrates were cast from a 30 wt % solution in propylene-glycol-methyl-ether-acetate. Subsequently, the films were placed over night in a vacuum oven at 70 °C to remove remaining solvent. The surfaces of these polymer films were then oxygen-plasma treated for 18 sec at 250 Watt. Then, PEDOT protonated with poly(styrene sulfonic acid) (PSS) was spin-cast onto the polymer films (2000 rpm, 60 sec) yielding films of around 80 nm thickness. The aqueous PEDOT/PSS solution was supplied by Bayer AG (Leverkusen, Germany). Microstructuring of the bilayer systems (PEDOT/PS, PEDOT/PMMA, PEDOT/PVP) was performed as described in Example 1 under the following conditions: PEDOT/PS: 100 °C, 1 hr, 10 kg (Example 17); PEDOT/PMMA: 105 °C, 1hr, 10 kg (Example 18; E-SEM photomicrograph in FIG. 3a); PEDOT/PVP: 150 °C, 1 hr, 10 kg (Example 19).

Other processing conditions also have been shown to yield satisfactory results.

- 15 – Another microstructured polymer/polymer object (Example 20) was obtained as described in Example 17 - 19, but a compression-molded HDPE substrate was used instead of spin-cast ones. The HDPE film was obtained as described in Example 2 and embossing of the PEDOT/HDPE system was also performed as described in Example 2.
- 20 Thus, microstructured objects in accordance with an embodiment of this invention comprised of microcut polymeric microstructures onto various polymers, such as PS, PMMA, PVP and HDPE, can also be obtained utilizing the microcutting technique described in one embodiment of this invention.

25 **EXAMPLE 21 - 23**

To illustrate that also inorganic, ceramic layers can be microstructured utilizing the microcutting technique described in one embodiment of this invention, indium tin oxide (ITO) layers of a thickness of 10 nm (Example 21), 15 nm (Example 22) and 20 nm (Example 23) were microcut on commercially available PET films (Mylar®, DuPont, USA). The E-SEM photomicrograph in FIG. 3b and transmission electron

micrograph and energy-dispersive X-ray microanalysis in FIG. 3c of a cross-section of a microstructured ITO layer (20 nm thin) on PET demonstrate that the ITO layer is microcut: in region A, indium was detected whereas in region B, no indium was found. The PET films were of a thickness of about 100 μm . The ITO layers were applied onto these PET films by DC magnetron sputtering at 20 nm/min using a Leybold Z650 (Leybold Heraeus GmbH, Cologne, Germany) provided with a 8" target. Microstructuring of the two ITO/PET systems were performed as described in Example 2, but at 100 °C applying 10 kg for 1hr.

Thus, microstructured objects in accordance with an embodiment of this invention comprised of microcut inorganic, ceramic microstructures onto polymer substrates can also be obtained utilizing the microcutting technique described in one embodiment of this invention.

EXAMPLES 24

- 15 Another microstructured object was prepared in the same way as in Example 21, but before evaporating the ITO layer, now an additional silicon oxide (SiO_2) layer was applied by RF sputtering at a rate of 7 nm/min using a Balzers Vanguard (Balzers AG, Balzers, Liechtenstein) provided with an 8" target. Microstructuring was then performed at 130 °C under a load of 10 kg for 1 hr.
- 20 Thus, microcutting of multilayer systems, even when using layers of intrinsically brittle materials, such as SiO_2 , is feasible utilizing the microcutting technique described in one embodiment of this invention yielding microstructured objects in accordance with an embodiment of this invention.

EXAMPLES 25 AND 26

Two other microstructured objects were prepared in the same way as in Example 7 (Example 25; E-SEM photomicrograph in FIG. 3d) and Example 23 (Example 26), but after the first microstructuring step, the obtained microstructured object was microstructured for a second time, whereby the master was rotated by 90°. In this

second patterning step, the same embossing parameters were used except that a lower load was employed (2.5 kg for Example 25; 5 kg for Example 26) than in the first embossing step.

- 5 This example demonstrates that multiple-microcutting is feasible utilizing the microcutting technique described in one embodiment of this invention, yielding microstructured objects in accordance with an embodiment of this invention that can comprise more complex features than the original master.

EXAMPLES 27 AND 28

- 10 Two other microstructured object were prepared in the same way as in Example 7 (Example 27; E-SEM photomicrograph in FIG. 3e) and Example 23 (Example 28), but now, after the first embossing/microcutting step, the resultant microstructured objects were drawn in a Miniature Materials Tester (Minimat 2000; Rheometric Scientific GmbH, Munich, Germany) to about 2 times their original length.
- 15 Thus, microstructured objects, which were obtained with the microcutting technique described in one embodiment of this invention, can be deformed (e.g. drawn) after the embossing/microcutting step, what may be a desirable possibility, for instance, to modify spacings between the microcut features.

EXAMPLES 29

- 20 Unsupported microstructures according to one embodiment of the present invention were prepared by first preparing a microstructured object as described in Example 22, where now, subsequently, the PET substrate was dissolved in hexafluoro-2-propanol, which yielded ITO "micro"-wires, as the optical micrograph in FIG. 3f
25 illustrates (Leica DMRX, transmission, Leica AG, Glattbrugg, Switzerland).

Thus, as this example demonstrates, it is feasible to produce unsupported microstructures utilizing the microcutting technique described in one embodiment of this invention when, for instance, the support is removed by dissolution.

EXAMPLES 30

Another microstructured object was prepared in the same way as in Example 1, but after microstructuring the metal layer, a pre-polymeric liquid that consisted of 1 ml monomer mixture (41.3 wt% Epon 812, 54 wt% Dibutylphthalate, 4.7 wt% Durcupan ACM), 1 ml hardener (DDSA) and 5 drops of accelerator (DMP30) (all from Fluka, Buchs, Switzerland), was poured on the microstructured object and, subsequently, cured at 60 °C for 24 hours. Then, the FEP substrate was peeled off the cured Epon with sharp tweezers. In this process, the microcut gold layer adhered to the Epon layer and, thus, was transferred from the FEP substrate.

Thus, as this example demonstrates, it is feasible to transfer the microcut microstructures produced with the technique described in one embodiment of this invention to other supports.

15 EXAMPLES 31-33

Other microstructured objects were prepared as described in Examples 16, 20 and 22. Their electrical properties were measured with a Metex® M-3610 digital multimeter (CE-BIT ELEKTRONIK AB, Göteborg, Sweden). The structures were found to have a resistance parallel to the microcut metal wires of about 30 Ω (Al/PET system; Example 31), 20 kΩ (ITO/PET system; Example 32) and 200 kΩ (PEDOT/HDPE system; Example 33). Perpendicular to the wires, a resistance virtually identical to that of the insulating PET film was measured for all three systems.

Thus, as these examples demonstrate, it is feasible to produce metallic, polymeric and inorganic, nonmetallic microelectrodes with the microcutting technique described in one embodiment of this invention.

EXAMPLES 34

Another microstructured object was prepared as described in Example 15. Its optical characteristics was analyzed with a IFS 66V FT-IR spectrometer (Bruker Optik GmbH, Ettlingen, Germany) employing the method proposed by Rupprecht *et al.* for measuring two identical polarizers (G. Rupprecht, D. M. Ginsberg, J. D. Leslie, *J. Opt. Soc. Am.* **52**, 665 (1962)). The theoretical limits were calculated according to Auton (J. P. Auton, *Appl. Opt.* **6**, 1023 (1967); J. M. Bennett, H. E. Bennett, in *Handbook of Optics* W. G. Driscoll, W. Vaughan, Eds. (McGraw-Hill, New York, 1978)). It was found that the Al/HDPE system polarized light both the experimental and theoretical polarizing efficiencies are ~1.0 and the single-piece transmittances approach 0.4 (FIG. 4a).

Thus, as this example demonstrates, it is feasible to produce outstanding, efficient light polarizers with the microcutting technique described in one embodiment of this invention.

15

EXAMPLES 35

Another microstructured object was prepared as described in Example 7. Its optical characteristics were analyzed with a Leica DMRX microscope, whereby light incident onto the microcut object was linearly polarized with a dichroic filter. It was found that 20 this Au/PVA system displayed pronounced polarization-dependent colors; i.e., it appeared red or green when examined with the microcut Au grooves perpendicular, respectively parallel to the polarization direction of the incident light.

Thus, as this example demonstrates, it is feasible to produce polarization-dependent color filters with the microcutting technique described in one embodiment of this 25 invention.

EXAMPLES 36-38

Other microstructured objects were prepared as described in Examples 18, 23 and 25. Subsequently, the liquid-crystal (LC) compound 4'-pentyl-4-biphenylcarbonitrile (Aldrich Chemical Company, Milwaukee, USA) was applied with a disposable micro-pipette onto these microstructured objects (PEDOT/PMMA: Example 36; 20 nm ITO/PET: Example 37; 20 nm ITO/PET, double-structured: Example 38). All three objects were aligning the LC compound as the optical micrographs (taken between crossed polarizers; Leica DMRX microscope) presented in FIG. 5 illustrate (arrows in indicate position of the polarizer/analyzer). On the unstructured region (A in the presented micrographs), the LC compound was unoriented. For all single structure areas (B), transmitted-light-intensity minima were observed when the sample was positioned with grooves parallel to one of the crossed polarizers (left) and maximum intensities were found at a 45°-position (right), which indicates that the LC molecules are aligned either parallel or perpendicular to the grooves. Remarkably, in the doubly-structured region C, the optical appearance was opposite from that of the single-structured areas: transmitted-light-intensity minima were observed when the sample was positioned with at a 45 °-position and maximum intensities were found with grooves parallel to one of the crossed polarizers.

Thus, as these three examples demonstrate, it is feasible to produce LC-alignment layers with the microcutting technique described in one embodiment of this invention.

Having described specific embodiments of the present invention, it will be understood that many modifications thereof will readily be apparent to those skilled in the art, and it is intended therefore that this invention is limited only by the spirit and scope of the following claims.

CLAIMS

What is claimed is:

1. A process comprising:

5 pressing a master into a multi-layered structure,

said master having a surface facing said multi-layered structure, said surface comprising an area having a protruding micro-structured pattern,

10 said multi-layered structure comprising at least one supporting layer and at least one top layer, said at least one top layer being positioned between said master and said at least one supporting layer,

wherein

at least part of said micro-structured pattern penetrates through said at least one top layer into said at least one supporting layer.

15 2. The process of claim 1, wherein said at least one supporting layer includes a polymer layer.

3. The process according to claim 2, wherein said polymer layer is in the solid state during said pressing.

20

4. The process according to any one of claims 1-3, wherein said at least one top layer includes a layer capable of conducting electricity.

25 5. The process according to any one of claims 1-4, further comprising removing said master from said multi-layered substrate.

6. The process according to any one of claims 1-5, further comprising removing said at least one supporting layer from said at least one top layer.
- 5 7. The process according to any one of claims 1-6, wherein said multi-layered structure comprises at least two top layers.
8. The process according to claim 7, further comprising removing at least one top layer from said at least two top layers after said pressing.
- 10 9. The process according to any one of claims 1-8, wherein each of said at least one top layer has a thickness of between 1nm-100µm.
- 15 10. The process according to any one of claims 1-9, wherein said micro-structured pattern comprises two adjacent protruding edges, said two adjacent protruding edges being spaced apart less than 100µm.
- 20 11. The process according to any one of claims 1-9, wherein said micro-structured pattern comprises two adjacent protruding edges, said two adjacent protruding edges being spaced apart less than 10µm.
- 25 12. The process according to any one of claims 1-9, wherein said micro-structured pattern comprises an array of protruding edges and wherein each protruding edge in said array is spaced apart less than 1mm from an adjacent protruding edge in said array.

13. An object obtained by a process according to any one of claims 1-12.

14. The object of claim 13, wherein said object is selected from the group
5 consisting of microelectrodes, optical filters, polarizers, polarization-dependent color
filters, transfectors, and security features.

1/4

Fig. 1

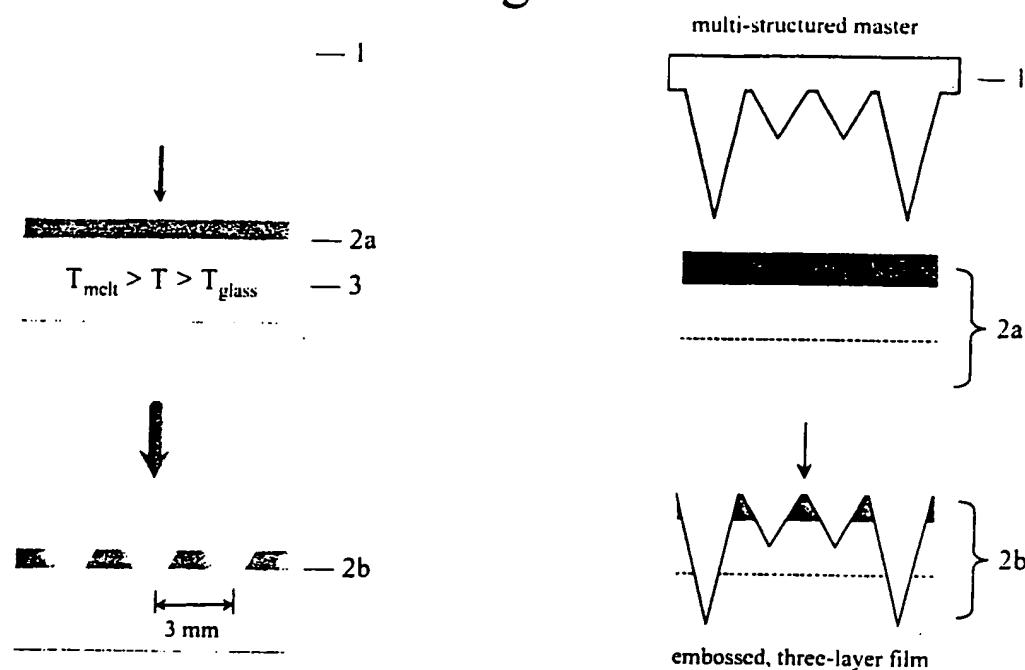
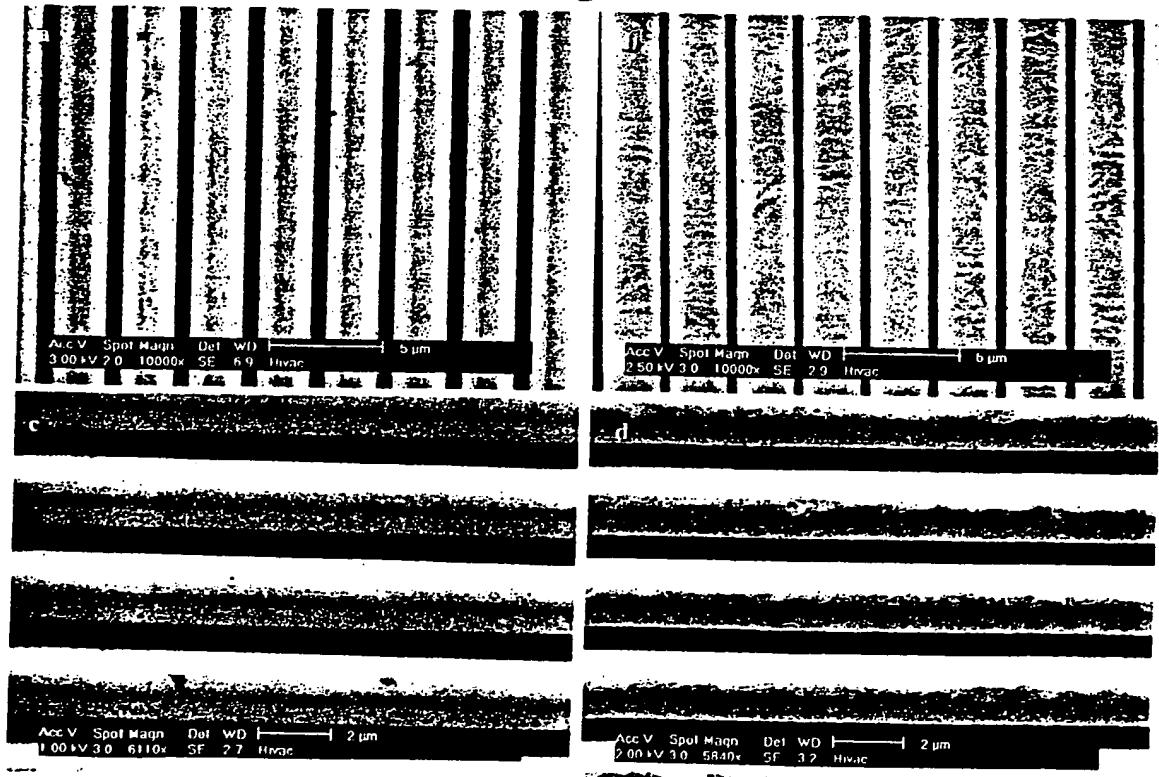
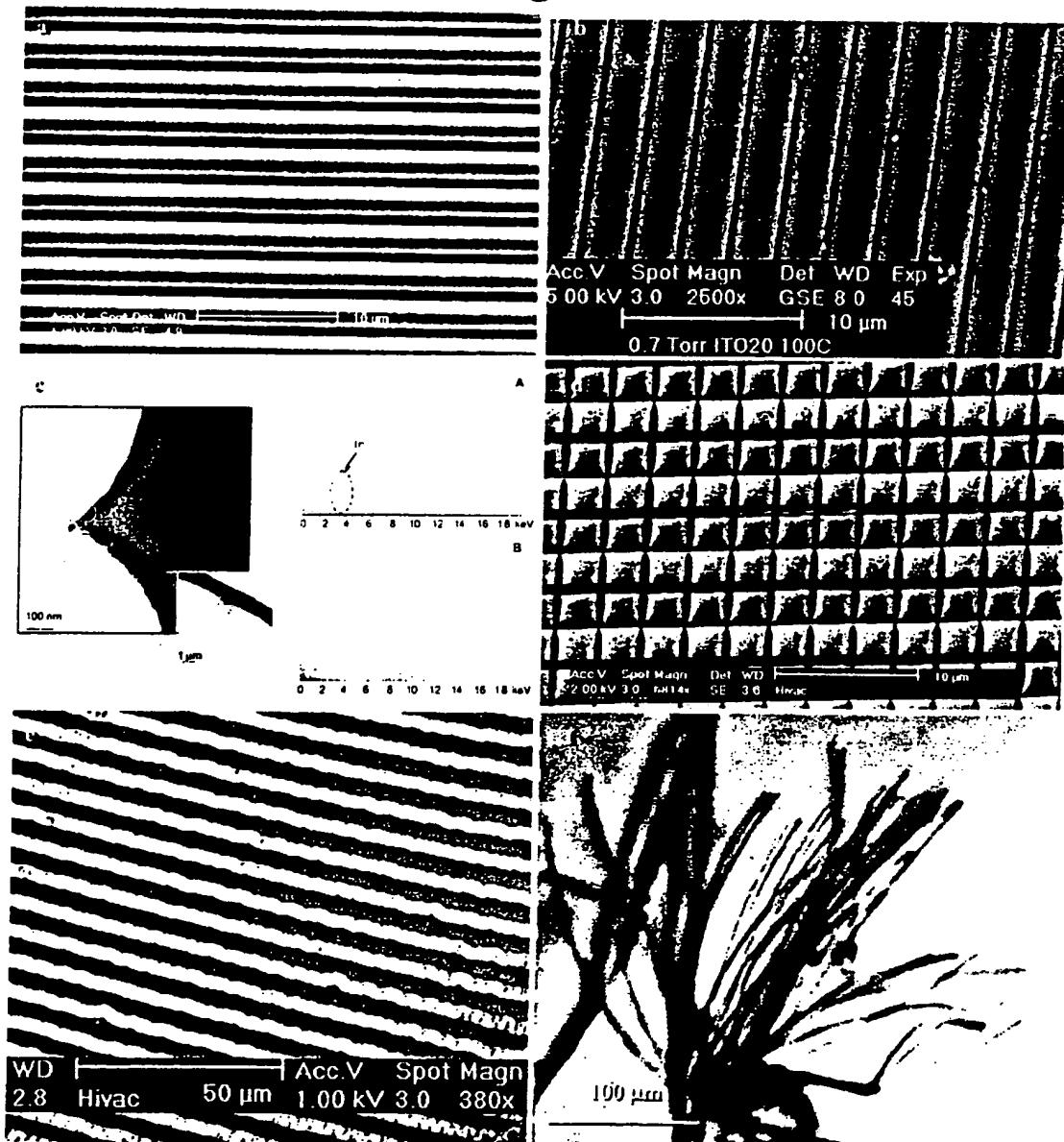


Fig. 2



SUBSTITUTE SHEET (RULE 26)

Fig. 3



SUBSTITUTE SHEET (RULE 26)

Fig. 4

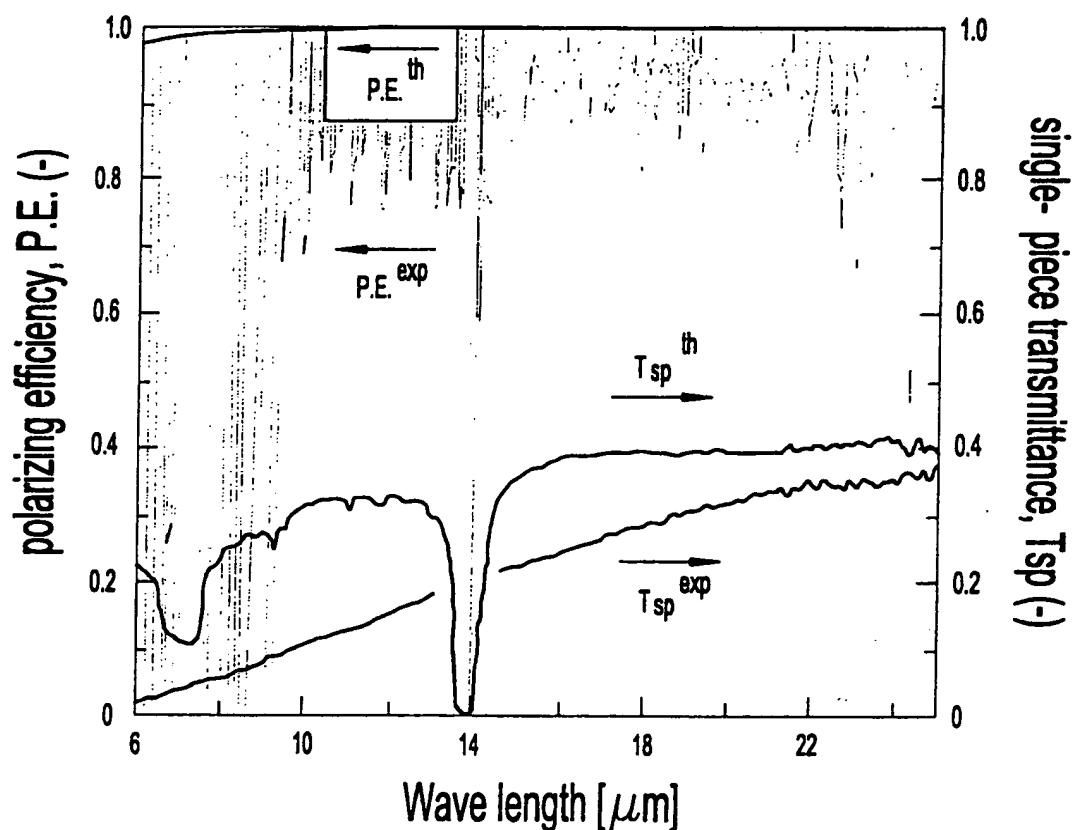
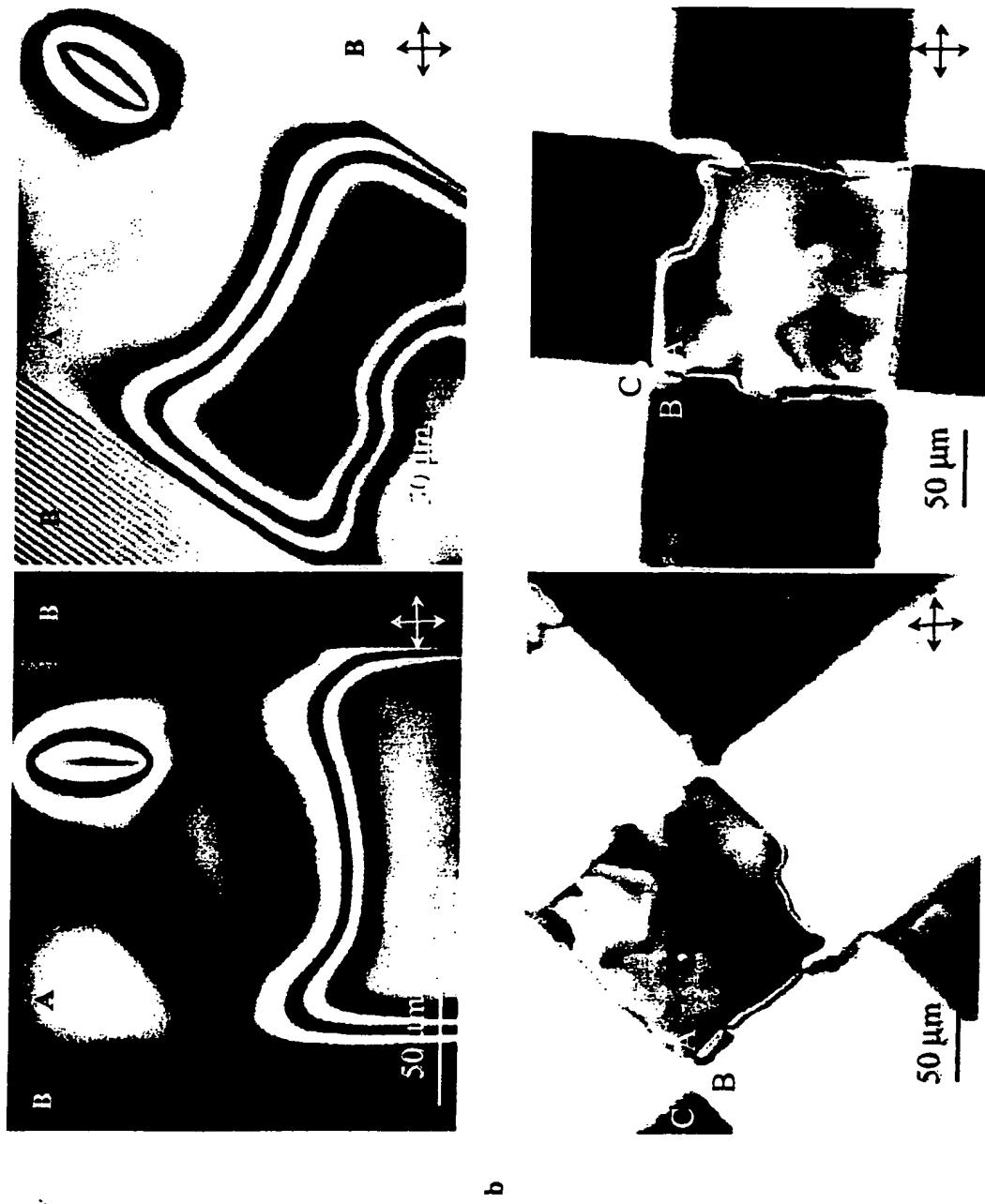


Fig. 5



SUBSTITUTE SHEET (RULE 26)

BNS page 27

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 01/05181

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 B29C59/02 G02B5/18

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 B29C G02B H01L C25D

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 512 161 A (DINGLREITER HEINZ ET AL) 30 April 1996 (1996-04-30) column 3, line 53 -column 4, line 23 column 6, line 8 - line 41; figures 1-3 ----- US 5 795 519 A (HARMENING MICHAEL ET AL) 18 August 1998 (1998-08-18) column 2, line 41 -column 4, line 57; figures 1A-5 ----- US 5 073 237 A (HARMENING MICHAEL ET AL) 17 December 1991 (1991-12-17) column 2, line 30 -column 4, line 40; figures 1-3 ----- -/-	1-5, 9, 13, 14 1-3, 5, 9, 13, 14 1-5, 7, 12-14

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

& document member of the same patent family

Date of the actual completion of the international search

Date of mailing of the international search report

31 May 2001

08/06/2001

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl.
Fax: (+31-70) 340-3016

Authorized officer

Fageot, P

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 01/05181

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 143 672 A (KUWAHARA EIJI) 1 September 1992 (1992-09-01) column 1, line 50 -column 2, line 36; figures 1,5,6,9 -----	1-3,5,7, 8,12
X	US 5 834 037 A (WU SZE TSANG) 10 November 1998 (1998-11-10) column 2, line 10 - line 50 column 3, line 35 -column 4, line 28; figures 1-4 -----	1-3,5,7

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 01/05181

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5512161 A	30-04-1996	DE 4231742 A AT 137277 T DE 59302391 D WO 9406952 A EP 0662163 A	24-03-1994 15-05-1996 30-05-1996 31-03-1994 12-07-1995
US 5795519 A	18-08-1998	DE 4219667 A AT 136950 T WO 9325732 A DE 59302281 D EP 0646188 A JP 2625580 B JP 7504624 T US 5676983 A	23-12-1993 15-05-1996 23-12-1993 23-05-1996 05-04-1995 02-07-1997 25-05-1995 14-10-1997
US 5073237 A	17-12-1991	DE 4010669 C CH 682751 A JP 2989023 B JP 5261738 A	11-04-1991 15-11-1993 13-12-1999 12-10-1993
US 5143672 A	01-09-1992	JP 1166933 A JP 1866528 C JP 5072855 B JP 1167000 A JP 1172000 A JP 1928574 C JP 6033040 B KR 9310626 B	30-06-1989 26-08-1994 13-10-1993 30-06-1989 06-07-1989 12-05-1995 02-05-1994 02-11-1993
US 5834037 A	10-11-1998	CN 2239648 U AU 1425997 A WO 9723332 A	06-11-1996 17-07-1997 03-07-1997

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKEWED/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.